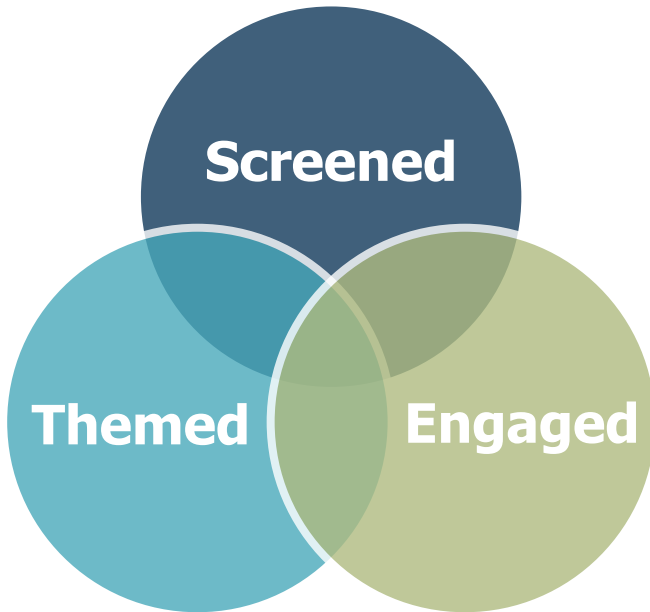




Fund EcoMarket

The sustainable, responsible and ethical investment fund information hub



Exploring Sustainability & Suitability



www.FundEcoMarket.co.uk

Six step advice process.

Using Fund EcoMarket.

Step 1 – Identify who is interested
(with a 'main fact find' question)

Step 2 – Identify key areas of interest
(using 'SRI StyleFinder' questionnaire)

Step 3 – Refine client research
(use SRI Policies & Approaches filters as prompts where necessary)

Step 4 – Search for relevant fund options
(see filters and text for fund details)

Step 5 – Record your findings
(using reporting functions)

Step 6 – Integrate findings into regular advice processes
(geographic area/asset type info is on FEM)

FSB TCFD (Financial Stability Board 'Task Force on Climate Related Financial Disclosure') issues recommendations for Climate-related Financial Disclosure (for investee companies and their investors).
June 2017

UK Government launches plan to accelerate growth in Green Finance.
BEIS
September 2017

Institute & Faculty of Actuaries (IFoA) issues 'Climate Risk Alert' to all members, supported by 'Resource and Environment Issues: A Practical Guide for Pensions Actuaries' report.
May 2017

UK Investment Consultants publicly back Pensions Regulator (TPR) guidance to consider environmental and social issues.
UKSIF
September 2017

EU Sustainable Finance Action Plan (following HLEG report).
March 2018

DWP & DCMS respond to Law Commission 'Pensions & Social Investment' report.
June 2018

c600 unique Fund EcoMarket users per month Q3 2018
(Analytics)

UK Office for Civil Society commissions research into how to expand social impact investment.
August 2017

The Big 3.

Meeting clients' sustainable, responsible and ethical investment aims.

| | Ethically Screened Fund Options | | | SRI Themed Fund Options | | | Fund or Fund Management Company strategies | | |
|--|---------------------------------|---|---|---|--|---|--|---|---|
| | SRI Style | Faith Based | Negative Ethical | Ethically Balanced | Sustainability Theme | Environmental Theme | Social Theme | ESG Plus | Responsible Ownership |
| Client aims: | | Funds that avoid companies or sectors that conflict with the values of a named religion. | Funds that (primarily) focus on avoiding companies based on negative ethical (and other) exclusions. Criteria and depth of screens varies. | Funds that balance diverse (often complex) positive and negative ethical and other issues . Criteria vary. May favour 'best in sector' companies. | Funds that focus on sustainability themes , issues & opportunities - often alongside ethical criteria. Strategies vary. | Funds that focus on environmental themes , issues & opportunities - sometimes alongside ethical criteria. May focus on a single issue or sector. | Funds that focus on social themes , issues, opportunities and outcomes. (ie. people related). | Fund or company wide strategies that focus on environmental, social and governance risks and issues alongside additional SRI differentiators. Strategies vary. | Fund or company wide strategies that use investor stewardship (voting and dialogue) strategies to help deliver better business practices . Strategies vary. |
| To reflect personal ETHICAL VALUES eg. relating to tobacco, armaments, alcohol, gambling, animal testing – typically in addition to environmental and social issues. | | | | | | | | | |
| To take areas like sustainability, environmental and social issues seriously because it makes FINANCIAL SENSE to do so. | | | | | | | | | |
| To help effect POSITIVE CHANGE through where they invest – to have a positive impact by investing in well run, forward looking, environmentally and socially aware companies. | | | | | | | | | |

- Yes/Always
- For most funds/Often
- No/Very unlikely

Refining your search.

Using Fund EcoMarket filter options.

| Policies, Issues & Themes (what?) | Approaches, Application & Features (how & why?) | Corporate Activity (who?) |
|---|--|--|
| eg. | eg. | eg. |
| <p>Environmental</p> <ul style="list-style-type: none"> • Has environmental policy • Coal, Oil and Gas majors excluded • Sustainable transport theme <p>Social</p> <ul style="list-style-type: none"> • Avoids child labour • Has human rights policy • Responsible supply chain policy <p>Governance</p> <ul style="list-style-type: none"> • Has governance policy • Encourage board diversity (eg gender) <p>Ethical</p> <ul style="list-style-type: none"> • Avoids tobacco • Avoids animal testing • Avoids armaments | <p>Approaches</p> <ul style="list-style-type: none"> • Strictly screened • Limited/few exclusions • Single resource theme <p>Impact</p> <ul style="list-style-type: none"> • Aims to deliver positive impacts • Measures positive impacts <p>Policies in practice</p> <ul style="list-style-type: none"> • Over 50% large cap • Faith friendly • Favours cleaner/greener companies <p>Features</p> <ul style="list-style-type: none"> • RSMR Rated • Available via an ISA • External committee with power of veto | <p>Resources</p> <ul style="list-style-type: none"> • Employ specialist ESG/SRI researchers • Use Specialist ESG/SRI research companies <p>Stewardship & Responsible Ownership</p> <ul style="list-style-type: none"> • ESG/SRI Engagement • Publish full voting record • UK Stewardship Code signatory <p>Affiliations & Collaboration</p> <ul style="list-style-type: none"> • UKSIF member • PRI Signatory • Climate Action 100+ member <p>Other company features</p> <ul style="list-style-type: none"> • Specialist/boutique company • Specialist SRI/positive impact fund management company |

Associated Partners.

Free to use thanks to the following fund managers



About Fund EcoMarket.

What is fund EcoMarket?

- A comprehensive directory of all regulated, retail, onshore, 'sustainable, responsible and ethical investment' (SRI) funds - plus carefully selected additional funds
- Designed to enable retail investment intermediaries to match client aims to fund options
- Range of easy to use search filters ('SRI Styles', 'Policies' etc) plus text and links

How can Fund EcoMarket help?

- Brings together SRI/ESG/Impact/Sustainable investment fund information that is difficult to find elsewhere
- Supports advice process - help with fact finding, fund research & audit trail/reporting
- Highlights diversity of this market to support more appropriate fund selection

Why use Fund EcoMarket?

- Enables users to match client aims to most 'ethically appropriate' fund options
- Regularly reviewed, updated and improved
- Based on >20 years specialist experience in this area

Fund EcoMarket is a whole of SRI market tool (listing all regulated, retail, onshore screened and themed funds, plus some additional entries) which is primarily intended for use by UK based financial advisers. It is available free of charge thanks to our fund manager sponsors.

Fund EcoMarket is for information purposes only. It is intended to be used in addition to users' regular information sources. SRI Services is not regulated or authorised to offer advice and whilst we aim to keep information as up to date as possible we can not be held responsible for advice given to clients.

