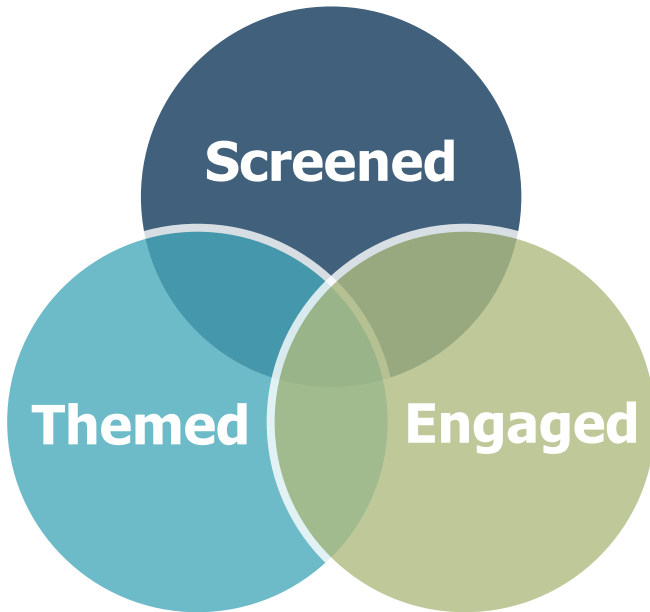




Fund EcoMarket

The sustainable, responsible and ethical investment fund information hub



Aligning sustainability & suitability



www.FundEcoMarket.co.uk

Six step advice process.

Using Fund EcoMarket.

Step 1 – Identify who is interested
(with a 'main fact find' question)

Step 2 – Identify key areas of interest
(using 'SRI StyleFinder' questionnaire)

Step 3 – Refine client research
(use SRI Policies & Approaches filters as prompts where necessary)

Step 4 – Search for relevant fund options
(see filters and text for fund details)

Step 5 – Record your findings
(using reporting functions)

Step 6 – Integrate findings into regular advice processes
(geographic area/asset type info is on FEM)

Market News.

Global temperature increases to be held well below 2°C, aiming for 1.5°C limit. Financial flows... are central to achieving this.

UN Paris
Climate Agreement,
December 2015

UK government adopts CCC recommendation of 'net zero carbon emissions by 2050'.

June 2019

New DWP pension trustees rules require schemes to say how they take ESG issues & climate change into account in SIP – plus how they undertake stewardship & consider additional 'non financial matters'.

October 2019

ESMA issue technical advice to the European Commission on integrating sustainability risks and factors in MiFID II.

April 2019

Fund EcoMarket 7.2k unique users, 11k sessions 1 Jan - 1 Sept 2019 .

Google Analytics

UK launches Green Finance Strategy & GFI announces plans to collaborate with UKSIF.

July 2019

EU Taxonomy for Sustainable Activities published.

June 2019

FCA & PRA form Climate Financial Risk Forum.

March 2019

c1 million animal and plant species threatened with extinction - more than ever before in human history.

UN IPBES,
May 2019

The Big 3.

Meeting clients' sustainable, responsible and ethical investment aims.

	SRI Style	Ethically Screened Fund Options			SRI Themed Fund Options			Fund or Fund Management Company strategies	
		Faith Based	Negative Ethical	Ethically Balanced	Sustainability Theme	Environmental Theme	Social Theme	ESG Plus	Responsible Ownership
Client motivations:		Funds that avoid companies or sectors that conflict with the values of a named religion.	Funds that (primarily) focus on avoiding companies based on negative ethical (and other) exclusions. Criteria and depth of screens varies.	Funds that balance diverse (often complex) positive and negative ethical and other issues . Criteria vary. May favour 'best in sector' companies.	Funds that focus on sustainability themes , issues & opportunities - often alongside ethical criteria. Strategies vary.	Funds that focus on environmental themes , issues & opportunities - sometimes alongside ethical criteria. May focus on a single issue or sector.	Funds that focus on social themes , issues, opportunities and outcomes. (ie. people related).	Fund or company wide strategies that focus on environmental, social and governance risks and issues alongside additional SRI differentiators. Strategies vary.	Fund or company wide strategies that use investor stewardship (voting and dialogue) strategies to help deliver better business practices . Strategies vary.
To reflect personal ETHICAL VALUES eg. relating to tobacco, armaments, alcohol, gambling, animal testing – typically in addition to environmental and social issues.									
To take issues like sustainability, the environment and social issues into account because there is a SOUND BUSINESS CASE for doing so.									
To help effect positive change through where they invest – to have a POSITIVE IMPACT by investing in well run, forward looking, environmentally and socially aware companies.									

- Yes/Always
- For most funds/Often
- No/Very unlikely

Refining your search.

Using Fund EcoMarket filter options.

Policies, Issues & Themes (what?)	Approaches, Application & Features (how & why?)	Corporate Activity (who?)
eg.	eg.	eg.
<p>Environmental</p> <ul style="list-style-type: none"> • Has environmental policy • Coal, Oil and Gas majors excluded • Sustainable transport theme <p>Social</p> <ul style="list-style-type: none"> • Avoids child labour • Has human rights policy • Responsible supply chain policy <p>Governance</p> <ul style="list-style-type: none"> • Has governance policy • Encourage board diversity (eg gender) <p>Ethical</p> <ul style="list-style-type: none"> • Avoids tobacco • Avoids animal testing • Avoids armaments 	<p>Approaches</p> <ul style="list-style-type: none"> • Strictly screened • Limited/few exclusions • Single resource theme <p>Impact</p> <ul style="list-style-type: none"> • Aims to deliver positive impacts • Measures positive impacts <p>Policies in practice</p> <ul style="list-style-type: none"> • Over 50% large cap • Faith friendly • Favours cleaner/greener companies <p>Features</p> <ul style="list-style-type: none"> • RSMR Rated • Available via an ISA • External committee with power of veto 	<p>Resources</p> <ul style="list-style-type: none"> • Employ specialist ESG/SRI researchers • Use Specialist ESG/SRI research companies <p>Stewardship & Responsible Ownership</p> <ul style="list-style-type: none"> • ESG/SRI Engagement • Publish full voting record • UK Stewardship Code signatory <p>Affiliations & Collaboration</p> <ul style="list-style-type: none"> • UKSIF member • PRI Signatory • Climate Action 100+ member <p>Other company features</p> <ul style="list-style-type: none"> • Specialist/boutique company • Specialist SRI/positive impact fund management company

Associated Partners.

Free to use thanks to the following fund managers



About Fund EcoMarket.

What is fund EcoMarket?

- A comprehensive directory of all regulated, retail, onshore, 'sustainable, responsible and ethical investment' (SRI) funds - plus carefully selected additional funds
- Designed to enable retail investment intermediaries to match client aims to funds
- Range of easy to use search filters ('SRI Styles', 'Policies' etc) plus text and links

How can Fund EcoMarket help?

- Brings together SRI/ESG/Impact/Sustainable investment fund information that is difficult to find elsewhere. (Information supplied by fund managers.)
- Supports advice process - help with fact finding, fund research & audit trail/reporting
- Highlights diversity of this market to support more appropriate fund selection

Why use Fund EcoMarket?

- Enables users to match client aims to most 'ethically appropriate' fund options
- Regularly reviewed, updated and improved
- Based on >20 years experience in retail sustainable, responsible & ethical investment

Fund EcoMarket is a whole of SRI market tool (listing all regulated, retail, onshore screened and themed funds, plus some additional entries) which is primarily intended for use by UK based financial advisers. It is available free of charge thanks to our fund manager sponsors.

Fund EcoMarket is for information purposes only. It is intended to be used in addition to users' regular information sources. SRI Services is not regulated or authorised to offer advice and whilst we aim to keep information as up to date as possible we can not be held responsible for advice given to clients.

