**FUND ECOMARKET – FILTER OPTIONS (August 2023)**

|  |  |
| --- | --- |
| **SRI Policies and themes (fund specific** | **Group** |
| Sustainability policy | Sustainability |
| Environmental policy | Sustainability |
| Sustainability focus | Sustainability |
| Report against sustainability objectives | Sustainability |
| Limits exposure to carbon intensive industries | Sustainability |
| Environmental damage and pollution policy | Sustainability |
| Resource efficiency policy or theme | Sustainability |
| Favours cleaner, greener companies | Sustainability |
| Sustainability theme or focus | Sustainability |
| Sustainable transport policy or theme | Sustainability |
| Encourage more sustainable practices through stewardship | Sustainability |
| UN Global Compact linked exclusion policy | Sustainability |
| UN Sustainable Development Goals (SDG) focus | Sustainability |
| Circular economy theme (new) | Sustainability |
| Transition focus (new) | Sustainability |
| Waste Management policy or theme | Sustainability |
| E-waste policy (new) | Sustainability |
| Social policy | Social / Employment |
| Labour standards policy | Social / Employment |
| Favours companies with strong social policies | Social / Employment |
| Fast fashion exclusion | Social / Employment |
| Health & wellbeing policies or theme | Social / Employment |
| Diversity, Equality & Inclusion Policy (fund level) | Social / Employment |
| Responsible mining policy (new) | Social / Employment |
| Mining exclusion (new) | Social / Employment |
| Vulnerable/gig workers protection policy (new) | Social / Employment |
| Governance policy | Governance & Management |
| UN sanctions exclusion | Governance & Management |
| Avoids companies with poor governance | Governance & Management |
| Anti-bribery and corruption policy | Governance & Management |
| Digital/cyber security policy | Governance & Management |
| Encourage board diversity e.g. gender | Governance & Management |
| Encourage TCFD alignment for banks & insurance companies | Governance & Management |
| Encourage higher ESG standards through stewardship activity | Governance & Management |
| Require investee companies to report climate risk in R&A (new) | Governance & Management |
| Ethical policies | Ethical Values Led Exclusions |
| Tobacco production avoided | Ethical Values Led Exclusions |
| Armaments manufacturers avoided | Ethical Values Led Exclusions |
| Civilian firearms production exclusion | Ethical Values Led Exclusions |
| Alcohol production excluded | Ethical Values Led Exclusions |
| Gambling avoidance policy | Ethical Values Led Exclusions |
| Pornography avoidance policy | Ethical Values Led Exclusions |
| Gilts / government bonds - exclude some | Ethical Values Led Exclusions |
| Gilts/government bonds - exclude all | Ethical Values Led Exclusions |
| Animal welfare policy | Ethical Values Led Exclusions |
| Animal testing exclusion policy | Ethical Values Led Exclusions |
| Animal testing - excluded except if for medical purposes | Ethical Values Led Exclusions |
| Human rights policy | Human Rights |
| Child labour exclusion | Human Rights |
| Oppressive Regimes (not free or democratic) exclusion policy | Human Rights |
| Responsible supply chain policy or theme | Human Rights |
| Indigenous Peoples’ policy (new) | Human Rights |
| Modern Slavery exclusion policy (new) | Human Rights |
| LGBTQ+ policy (new) | Human Rights |
| Biodiversity/nature policy | Nature & Biodiversity |
| Nature / biodiversity based solutions theme | Nature & Biodiversity |
| Nature / biodiversity focus (new) | Nature & Biodiversity |
| Deforestation / palm oil policy | Nature & Biodiversity |
| Unsustainable / illegal deforestation exclusion policy | Nature & Biodiversity |
| Responsible Palm Oil policy (new) | Nature & Biodiversity |
| Blue economy theme or focus | Nature & Biodiversity |
| Sustainable fisheries policy (new) | Nature & Biodiversity |
| Plastics policy / reviewing plastics | Nature & Biodiversity |
| Avoids genetically modified seeds/crop production | Nature & Biodiversity |
| Genetic engineering exclusion (new) | Nature & Biodiversity |
| Nature/Biodiversity protection policy (new) | Nature & Biodiversity |
| Water stewardship policy (new) | Nature & Biodiversity |
| Invests >5% in sustainable bonds | Targeted Positive Investments |
| Invest >5% in transition bonds | Targeted Positive Investments |
| Invests >5% in the blue economy | Targeted Positive Investments |
| Invests >5% in green bonds | Targeted Positive Investments |
| Invests > 50% in green bonds | Targeted Positive Investments |
| Invests >25% of fund in environmental/social solutions companies | Targeted Positive Investments |
| Invests >50% of fund in environmental/social solutions companies | Targeted Positive Investments |
| EU Sustainable Finance Taxonomy holdings >25% of fund assets | Targeted Positive Investments |
| EU Sustainable Finance Taxonomy holdings 5-25% of fund assets | Targeted Positive Investments |
| Climate change / greenhouse gas emissions policy | Climate Change & Energy |
| Coal, oil &/or gas majors excluded | Climate Change & Energy |
| Fracking and tar sands excluded | Climate Change & Energy |
| Arctic drilling exclusion | Climate Change & Energy |
| Fossil fuel reserves exclusion | Climate Change & Energy |
| Clean / renewable energy theme or focus | Climate Change & Energy |
| Encourage transition to low carbon through stewardship activity | Climate Change & Energy |
| TCFD reporting requirement | Climate Change & Energy |
| Require net zero action plan from all/most companies | Climate Change & Energy |
| Energy efficiency theme | Climate Change & Energy |
| Invests in clean energy/renewables | Climate Change & Energy |
| Nuclear exclusion policy | Climate Change & Energy |
| Supply chain decarbonisation policy (new) | Climate Change & Energy |
| Hydrogen policy or theme (new) | Climate Change & Energy |
| Green/sustainable property strategy (new) | Climate Change & Energy |
| Fossil fuel exploration exclusion - direct involvement (new) | Climate Change & Energy |
| Fossil fuel exploration exclusion – indirect involvement (new) | Climate Change & Energy |
| Water / sanitation policy or theme | Meeting Peoples' Basic Needs |
| Demographic / ageing population theme | Meeting Peoples' Basic Needs |
| Invests in social property (freehold) | Meeting Peoples' Basic Needs |
| Invests >5% in social housing | Meeting Peoples' Basic Needs |
| Invests >5% in social bonds | Meeting Peoples' Basic Needs |
| Invests > 50% in social bonds | Meeting Peoples' Basic Needs |
| Invests >50% in social housing | Meeting Peoples' Basic Needs |
| Green infrastructure focus (new) | Meeting Peoples' Basic Needs |
| Plant based / smart food production theme (new) | Meeting Peoples' Basic Needs |
| Responsible food production or agriculture theme (new) | Meeting Peoples' Basic Needs |
| Healthcare / medical theme (new) | Meeting Peoples' Basic Needs |
| Antimicrobial resistance policy | Meeting Peoples' Basic Needs |
| Banking exclusion | Banking & Financials |
| Exclude banks with significant fossil fuel investments | Banking & Financials |
| Only invest in TCFD aligned banks / financial institutions | Banking & Financials |
| Financial institutions exclusion | Banking & Financials |
| Predatory lending exclusion | Banking & Financials |
| Exclude banks that finance fossil fuels extraction (new) | Banking & Financials |
| Employ external (fund) oversight or advisory committee | Fund Governance |
| External (fund) committee has veto powers | Fund Governance |
| ESG integration strategy | Fund Governance |
| ESG factors included in Assessment of Value (AoV) report | Fund Governance |
| Over 50% small/mid cap companies | Asset Size & Metrics |
| Over 50% large cap companies | Asset Size & Metrics |
| Invests in small, mid and large cap companies | Asset Size & Metrics |
| Invests mostly in large cap companies | Asset Size & Metrics |
| Paris aligned fund strategy | Asset Size & Metrics |
| Invest in supranationals (new) | Asset Size & Metrics |
| Positive selection bias | How The Fund Works |
| Negative selection bias | How The Fund Works |
| Strictly screened ethical fund | How The Fund Works |
| Limited/few ethical exclusions\* | How The Fund Works |
| Selection criteria/strategy may alter in adverse markets | How The Fund Works |
| Single resource theme or focus | How The Fund Works |
| ESG weighted / tilt | How The Fund Works |
| Data led strategy | How The Fund Works |
| Passive / index driven strategy | How The Fund Works |
| Significant Harm exclusion | How The Fund Works |
| Assets mapped to SDGs | How The Fund Works |
| Combines norms based exclusions with other SRI criteria | How The Fund Works |
| Combines ESG strategy with other SRI criteria | How The Fund Works |
| Balances company 'pros and cons'/best in sector | How The Fund Works |
| Norms focus | How The Fund Works |
| Focus on ESG risk mitigation | How The Fund Works |
| SRI/ESG/Ethical policies explained on website | How The Fund Works |
| All assets (except cash) meet published sustainability criteria (new) | How The Fund Works |
| Fund uses unscreened ‘diversifiers’ to help manage risk (new) | How The Fund Works |
| Different risk options of this strategy are available (new) | How The Fund Works |
| Participated in sustainability solutions IPOs or new issuances (new) | How The Fund Works |
| Converted from ‘non ESG’ strategy (new) | How The Fund Works |
| Aims to generate positive impacts (or 'outcomes') | Impact Methodologies |
| Measures positive impacts | Impact Methodologies |
| Described as an ‘impact investment fund’ | Impact Methodologies |
| Positive environmental impact theme | Impact Methodologies |
| Positive social impact theme | Impact Methodologies |
| Invests in environmental solutions companies | Impact Methodologies |
| Invests in social solutions companies | Impact Methodologies |
| Invests in sustainability/ESG disruptors | Impact Methodologies |
| Aim to deliver positive impacts through engagement (new) | Impact Methodologies |
| Over 50% in assets providing env or social ‘solutions’ (new) | Impact Methodologies |
| RSMR Rated (OEIC funds only) | Labels & Accreditations |
| Eurosif Transparency | Labels & Accreditations |
| SFDR Article 8 fund / product (EU) | Labels & Accreditations |
| SFDR Article 9 fund / product (EU) | Labels & Accreditations |
| Intended for investors interested in ESG / sustainability | Intended Clients & Product Options |
| Intended for clients who want to have a positive impact | Intended Clients & Product Options |
| Intended for vegetarians and / or vegans | Intended Clients & Product Options |
| Faith friendly | Intended Clients & Product Options |
| Available via an ISA (OEIC only) | Intended Clients & Product Options |
| Portfolio SRI / ESG options available (DFMs) | Intended Clients & Product Options |
| Multiple SRI / ESG portfolio options available (DFMs) | Intended Clients & Product Options |
| Bespoke SRI/ ESG portfolios available (DFMs) | Intended Clients & Product Options |
| Boutique/specialist fund management company | About The Business |
| Specialist positive impact fund management company | About The Business |
| Responsible ownership / stewardship policy (AFM company wide) | About The Business |
| ESG/SRI engagement (AFM company wide) | About The Business |
| Vote all\* shares at AGMs/EGMs (AFM company wide) | About The Business |
| Responsible Ownership/ESG a key differentiator (AFM co. wide) | About The Business |
| Sustainable property strategy (AFM company wide) | About The Business |
| Senior management KPIs include environmental goals (AFM co wide) | About The Business |
| SDG aligned aims/objectives (AFM company wide) | About The Business |
| Responsible Ownership policy for non SRI funds (AFM co. wide) | About The Business |
| Integrates ESG factors into all/most fund research | About The Business |
| Inhouse diversity improvement programme (AFM company wide) | About The Business |
| Diversity, Equality & Inclusion engagement policy (AFM co. wide) | About The Business |
| Vulnerable client policy on website (AFM company wide) (new) | About The Business |
| Just Transition policy on website (AFM company wide) (new) | About The Business |
| Invests in newly listed companies (AFM company) (new) | About The Business |
| Invests in new sustainability linked bond issuances (AFM) (new) | About The Business |
| Structured intermediary training on sustainable investment (new) | About The Business |
| Unstructured intermediary sustainable investment training (new) | About The Business |
| In house responsible ownership/voting expertise | Resources |
| Employ specialist ESG/SRI/sustainability researchers | Resources |
| Use specialist ESG/SRI/sustainability research companies | Resources |
| ESG specialists on all investment desks (AFM company wide) | Resources |
| PRI signatory | Collaborations & Affiliations |
| UKSIF member | Collaborations & Affiliations |
| Climate Action 100+ or IIGCC member | Collaborations & Affiliations |
| Fund EcoMarket partner | Collaborations & Affiliations |
| UN Net Zero Asset Owners/Managers Alliance member | Collaborations & Affiliations |
| GFANZ member (AFM company wide) | Collaborations & Affiliations |
| UN Net Zero Banking Alliance member (AFM co. wide) | Collaborations & Affiliations |
| UN Principles of Responsible Banking framework signatory-co wide | Collaborations & Affiliations |
| TNFD forum member (AFM company wide) | Collaborations & Affiliations |
| Investment Association (IA) member (new) | Collaborations & Affiliations |
| B Corp certified (AFM company wide) | Accreditations |
| PRI A+ rated (AFM company wide) | Accreditations |
| UK Stewardship Code signatory (AFM company wide) | Accreditations |
| Regularly lead collaborative ESG initiatives (AFM company wide) | Engagement Approach |
| Encourage responsible corporate taxation (AFM company wide) | Engagement Approach |
| Engaging on climate change issues (new) | Engagement Approach |
| Engaging with fossil fuel companies on climate change (new) | Engagement Approach |
| Engaging to reduce plastics pollution/ waste (new) | Engagement Approach |
| Engaging to encourage responsible mining practices (new) | Engagement Approach |
| Engaging on biodiversity / nature issues (new) | Engagement Approach |
| Engaging to encourage a Just Transition (new) | Engagement Approach |
| Engaging on human rights issues (new) | Engagement Approach |
| Engaging on labour / employment issues (new) | Engagement Approach |
| Engaging on diversity, equality and/or inclusion issues (new) | Engagement Approach |
| Engaging on governance issues (new) | Engagement Approach |
| Engaging on mental health issues (new) | Engagement Approach |
| Engaging on responsible supply chain issues (new) | Engagement Approach |
| Controversial weapons avoidance policy (AFM company wide) | Company Wide Exclusions |
| Tobacco avoidance policy (AFM company wide) | Company Wide Exclusions |
| Fossil fuel exclusion policy (AFM company wide) | Company Wide Exclusions |
| Review(ing)carbon/fossil fuel exposure for all funds-AFM co wide | Company Wide Exclusions |
| Coal divestment policy (AFM company wide) | Company Wide Exclusions |
| Coal exclusion policy (group wide coal mining exclusion policy) | Company Wide Exclusions |
| Do not invest in companies with fossil fuel reserves (new) | Company Wide Exclusions |
| Net Zero commitment (AFM company wide) | Climate & Net Zero Transition |
| Voting policy includes net zero targets (AFM company wide) | Climate & Net Zero Transition |
| Publish 'CEO owned' Climate Risk policy (AFM company wide) | Climate & Net Zero Transition |
| Net Zero - have set a Net Zero target date (AFM company wide) | Climate & Net Zero Transition |
| Encourage carbon / greenhouse gas reduction (AFM company wide) | Climate & Net Zero Transition |
| Carbon transition plan published (AFM company wide) | Climate & Net Zero Transition |
| ‘Forward Looking Climate Metrics’ published / ITR (AFM co. wide) | Climate & Net Zero Transition |
| Carbon offsetting-as part of our net zero plan (AFM co. wide) | Climate & Net Zero Transition |
| Carbon offsetting–do NOT offset carbon as part of net zero plan | Climate & Net Zero Transition |
| In house carbon/GHG reduction policy (AFM co. wide) | Climate & Net Zero Transition |
| Working towards a ‘Net Zero’ commitment (AFM company wide) | Climate & Net Zero Transition |
| Committed to SBTi / Science Based Targets Initiative (new) | Climate & Net Zero Transition |
| Publish Responsible Ownership/Stewardship report (AFM co. wide) | Transparency |
| Full SRI policy information on company website | Transparency |
| Full SRI policy information available on request | Transparency |
| Publish full voting record (AFM company wide) | Transparency |
| Sustainability transition plan publicly available (AFM co. wide) | Transparency |
| Paris Alignment plan publicly available (AFM company wide) | Transparency |
| Net Zero transition plan publicly available (AFM co. wide) | Transparency |